

# $G$ -Parking Functions, Spanning Trees, and the Tutte Polynomial

Carrie Frizzell

## Chip-firing and Divisor Theory on Discrete Graphs

Chip-firing is a discrete dynamical system on graphs. A configuration  $\sigma$  of chips on a graph is an integer-valued function supported on  $V(G)$ . There are many versions of a chip-firing game on  $G$ . A common rule is that the configuration be positive, i.e.  $\sigma(v) \geq 0$  for all  $v$ . In this case, a chip-firing move is possible if at least one vertex  $v$  has  $\sigma(v) \geq \text{val}(v)$ . To fire  $v$  means to give exactly one chip to each of its neighbors. A configuration is *stable* if it is not possible to fire from any vertex. In a version of chip-firing called the dollar game, described by Biggs in [Big99],  $\sigma$  is allowed to take a negative-value at a fixed vertex  $q$  (going into ‘debt’). With this version, a configuration  $\sigma$  is called *recurrent* if we can get back to  $\sigma$  after a sequence of chip-firing moves, only firing from  $q$  if no other move is possible. The stable, recurrent configurations on a graph  $G$  form a group called the *critical group*,  $K(G)$ .

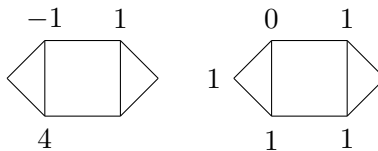


Figure 1: The configuration  $\sigma'$  on the right is obtained by firing the vertex with 4 chips for the configuration  $\sigma$  on left.

Let  $\text{Div}(G) = \mathbb{Z}^{|V(G)|}$  be the group of  $\mathbb{Z}$ -linear combinations of vertices on a graph  $G = (V, E)$ . This is the group of divisors on  $G$ , also called configurations, as above, or abelian sandpiles. A divisor is commonly denoted

$$D = \sum_{i=1}^n a_i v_i.$$

Divisors  $D$  and  $D'$  are *linearly equivalent* (written  $D \sim D'$ ) if one can be obtained from the other through a sequence of chip-firing moves. If a divisor  $D$  is equivalent to 0, it is called *principal*, and linear equivalence of divisors  $D$  and  $D'$  also means that  $D - D'$  is principal.. Principal divisors can be described via the Laplacian matrix  $L$  of a finite graph. If the set of  $n$  vertices of  $G$  is ordered, consider a vector  $x = (x_1, \dots, x_n)$  with integer entries. Multiplying  $Lx$  is equated to starting from the divisor 0 and firing each vertex  $v_j$ ,  $x_j$  times. This gives a divisor  $D$ , where  $D(v)$  for each vertex is  $(Lx)(v)$ . The image of  $L$  is the group of principal divisors. The *Picard group* of  $G$  is defined as  $\text{Pic}(G) = \text{Div}(G)/(D \sim 0)$ , and the Jacobian  $\text{Jac}(G) = \text{Pic}^0(G) = \text{Div}^0(G)/(D \sim 0)$ . The degree map  $\text{deg}(D) = \sum a_i$  is a surjective homomorphism from  $\text{Pic}(G)$  to  $\mathbb{Z}$ , and we get a short exact sequence of abelian groups:

$$0 \longrightarrow \text{Jac}(G) \longrightarrow \text{Pic}(G) \longrightarrow \mathbb{Z} \longrightarrow 0$$

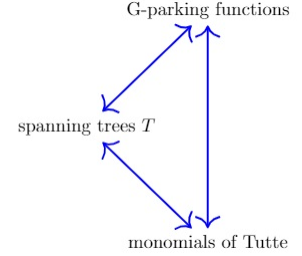
Since  $\mathbb{Z}$  is free (hence, projective), the sequence is split, and we get that  $\text{Pic}(G) = \mathbb{Z} \oplus \text{Jac}(G)$ . The Jacobian is a finite group, and is isomorphic to the critical group [Big99]. The order of  $\text{Jac}(G)$  is the number of equivalence classes of  $q$ -reduced divisors (also called the  $G$ -parking functions); that is, for a fixed vertex  $q$ , a divisor  $f$  such that, for every subset  $U \subseteq V(G) - \{q\}$ , there is some  $v \in U$  such that  $0 \leq f(v) < \text{outdeg}_{U,G}(v)$ . The value of  $f$  at  $q$  does not matter, but it will be declared that  $f(q) = -1$  for convenience.

## Problem Formulation

Consider the triangle diagram shown. There exist bijections represented by each of the arrows. A general question to ask is:

### Q1: Under what conditions does the triangle commute?

These bijections depend on choices, such as vertex order, edge order, or base vertex. Dhar's burning algorithm [Dha90] gives a bijection from  $G$ -parking functions to spanning trees. This edge order can then be used to assign a Tutte monomial to each ST by counting the internally and externally active edges. Furthermore, an entire family of bijections between spanning trees and  $G$ -parking functions was established in [CP05], based on sets of *proper tree orders*. These include tree orders induced by vertex orderings constructed by *breadth-first*, *depth-first*, and *vertex adding* algorithms.



A vertex-order dependent bijection from  $G$ -parking functions to terms of the Tutte polynomial that bypasses spanning trees was constructed in [CMY10]. Fix a total ordering on the vertices of  $G$ . Let  $e$  be the edge between 0 and  $w = \min\{v \in V(G) - \{0\} | (0, v) \in E(G)\}$ . Define a partition of the set of  $G$ -parking functions  $\mathcal{P}$  by  $\mathcal{P}_0 = \{f \in \mathcal{P} | f(w) = 0\}$  and  $\mathcal{P}_1 = \{f \in \mathcal{P} | f(w) > 0\}$ . Then  $\mathcal{P}_0$  is in bijection with  $(G/e)$ -parking functions, and  $\mathcal{P}_1$  is in bijection with  $(G - e)$ -parking functions [CMY10]. Here,  $G/e$  is the contraction of  $G$  relative to the edge  $e$ , and  $G - e$  is  $G$  with the edge  $e$  deleted. The bijection relies on the functions  $\pi_f$ , where, informally,  $\pi_f$  records the vertices of  $G$  in the order they will burn according to a vertex-order dependent version of Dhar's Algorithm; i.e. if  $\pi_f(i) = v$ , this means that vertex  $v$  was the  $i$ -th vertex to burn - note that fixing a vertex order tells how to break ties. We set  $\pi_f(0) = q$  for base vertex  $q$ . For a fixed  $f \in \mathcal{P}$  and  $v \in V(G)$ , denote  $U = \{u \in V(G) | \pi_f^{-1}(u) \geq \pi_f^{-1}(v)\}$  and  $W = \{w \in V(G) | \pi_f^{-1}(w) < \pi_f^{-1}(v)\}$ .

**Definition:** A *critical bridge vertex*  $v$  of the parking function  $f$  with  $\pi_f(i) = v$  is one for which  $\text{outdeg}_{f,U}(v) = f(v) + 1$  in  $G$  (criticality), and such that there does not exist a parking function  $g$  satisfying all of the following:  $g(w) = f(w)$  and  $\pi_g^{-1}(w) = \pi_f^{-1}(w)$  for all  $w \in W$ ;  $g(v) = f(v) + 1$ ; and  $\pi_g(i) > v$  in the vertex order.

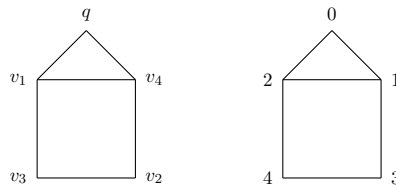


Figure 2: *Right:* The vertices labeled according to order, with base vertex  $q$ . *Left:* The vertices labeled by  $\pi_f^{-1}$  for  $f = (-1, 1, 0, 0)$ . The  $i$ -th component is the value at  $v_i$ . By the above definitions,  $v_4$  is critical bridge, whereas  $v_1$  is critical, but not bridge by comparing with  $g = (-1, 2, 0, 0)$ .

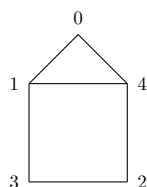
**Theorem (Chang et al.):** Let  $cb_f(G)$  be the number of critical bridge vertices of the function  $f$ . Then the terms of the polynomial

$$P(G; x, y) = \sum_{f \in \mathcal{P}} x^{cb_f(G)} y^{|E(G)| - |V(G)| - \sum_{v \in V(G)} f(v)}$$

are in bijection with terms of the Tutte polynomial of  $G$ .

**Q2:** For a graph  $G$ , is there an edge ordering algorithm which is “natural” in that it is induced by the vertex order for the bijection from  $G$ -parking functions to Tutte monomials, and the triangle commutes?

The question has been answered in the negative by Zharkov and F for the  $G$ -parking function to spanning tree bijection given by Dhar’s algorithm. Let  $G$  be the house graph. After writing a code to aid in computation, if the vertex order is as depicted below, then there is no total ordering on the edges  $E(G)$  such that the Dhar map from  $G$ -parking functions to spanning trees and from spanning trees to monomials via the measure of internal and external activity compose to be the map from  $G$ -parking functions to monomials in [CMY10]. Suggested directions for further exploration are to check compatibility with the bijections in [CP05] and to construct a bijection from parking functions to monomials which does not depend on a vertex order. Another possible direction is to explore bijections not compatible with the proper tree orders described by Chebikin and Pylyavskyy, such as was done by Kostić and Yan in [KY08].



## References

- [Big99] Norman Biggs. Chip-firing and the critical group of a graph. *Journal of Algebraic Combinatorics*, 9(1): 25–45, Jan 1999.
- [CMY10] Hungyung Chang, Jun Ma, and Yeong-Nan Yeh. Tutte polynomials and  $g$ -parking functions. *Advances in Applied Mathematics*, 44(3): 231 – 242, 2010.
- [CP05] Denis Chebikin and Pavlo Pylyavskyy. A family of bijections between  $g$ -parking functions and spanning trees. *Journal of Combinatorial Theory, Series A*, 110(1): 31 – 41, 2005.
- [Dha90] Deepak Dhar. Self-organized critical state of sandpile automaton models. *Phys. Rev. Lett.*, 64: 1613–1616, Apr 1990.
- [KY08] Dimitrije Kostić and Catherine H. Yan. Multiparking functions, graph searching, and the tutte polynomial. *Advances in Applied Mathematics*, 40(1): 73 – 97, 2008.